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DEPARTMENT OF INSPECTIONS AND APPEALS

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| STATEMENT OF DEFICIENCIES AND PLAN OF CORRECTION | (X1) PROVIDER/SUPPLIER/CLIA IDENTIFICATION NUMBER: S0412 | (X2) MULTIPLE CONSTRUCTION A. BUILDING: _____ B. WING _____ | (X3) DATE SURVEY COMPLETED C 09/30/2021 |
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| NAME OF PROVIDER OR SUPPLIER CALVIN COMMUNITY ASSISTED LIVING | STREET ADDRESS, CITY, STATE, ZIP CODE 4210 HICKMAN ROAD DES MOINES, IA 50310 |
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| (X4) ID PREFIX TAG | SUMMARY STATEMENT OF DEFICIENCIES (EACH DEFICIENCY MUST BE PRECEDED BY FULL REGULATORY OR LSC IDENTIFYING INFORMATION) | ID PREFIX TAG | PROVIDER'S PLAN OF CORRECTION (EACH CORRECTIVE ACTION SHOULD BE CROSS-REFERENCED TO THE APPROPRIATE DEFICIENCY) | (X5) COMPLETE DATE |
|--------------------|--|---------------|---|--------------------|
| A 000 | <p>Initial Comments</p> <p>Assisted living Programs are defined by the type of population served. The census numbers were provided by the Program at the time of the on-site.</p> <p>Number of tenants without cognitive disorder: 28</p> <p>Number of tenants with cognitive disorder: 1</p> <p>TOTAL census of Assisted Living Program: 29</p> <p>A recertification visit was conducted to determine compliance with certification for an Assisted Living Program. An onsite infection control survey and Complaints #97031-C, 97581-C and 98774-C were also completed. The following regulatory insufficiencies were cited.</p> | A 000 | <p>See Attached</p> <p>POC</p> <p>1/21/22</p> | |
| A 340 | <p>481-69.25(2) Tenant Documents</p> <p>69.25(2) The program records relating to a tenant shall be retained for a minimum of three years after the transfer or death of the tenant.</p> <p>This REQUIREMENT is not met as evidenced by: Based on interview and record review the Program failed to consistently ensure tenant records were retained for the required three years. This affected 2 of 2 former tenants (Tenant C1 and C2). Finding follows:</p> <p>Record review on 9/22/21 revealed the Program unable to produce files for Tenant C1 and Tenant C2.</p> | A 340 | | |

DIVISION OF HEALTH FACILITIES - STATE OF IOWA
LABORATORY DIRECTOR'S OR PROVIDER/SUPPLIER REPRESENTATIVE'S SIGNATURE _____ TITLE _____ (X6) DATE _____

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| A 340 | Continued From page 1 The Nurse explained the Program could not locate the closed files. After the Program conducted a search for the closed files they notified the surveyor on 9/28/21 that the files could not be located. During the exit on 9/30/21 the Program staff confirmed they were not able to locate the required information. | A 340 | | |
| A 345 | 481-69.25(3) Tenant Documents 69.25(3) All records shall be protected from loss, damage and unauthorized use. This REQUIREMENT is not met as evidenced by: Based on interview and record review the Program failed to consistently ensure tenant records were protected from loss, damage and unauthorized use. This affected 2 of 2 former tenants (Tenant C1 and C2). Finding follows: Record review on 9/22/21 revealed the Program unable to produce files for Tenant C1 and Tenant C2. The Nurse explained the Program could not locate the closed files. After the Program conducted a search for the closed files they notified the surveyor on 9/28/21 that the files could not be located. During the exit on 9/30/21 the Program staff confirmed they were not able to locate the required information. | A 345 | | |

A 340 481-69.25 (2) Tenant Documents

69.25. The program records relating to a tenant shall be retained for a minimum of three years after the transfer or death of the tenant.

Calvin Community will retain tenant records for a minimum of three years after the transfer or death of the tenant.

Calvin Community reviewed, updated and implemented the program's document retention process. This process will include: quarterly thinning of tenant charts during services plan updates, active resident thinned chart documentation to be located in the program director's office, annually scanning discharged tenant documentation into the program's electronic health record and relocating the physical documentation permanent storage for a minimum of three years.

Monitoring of the assisted living document retention process will be completed by the facility CEO or designee.

The date of completion January 21st, 2022.

A 354 481-69.25 (3) Tenant Documents

69.25. All records shall be protected from loss damage, and unauthorized use.

Calvin Community will protect tenant records from loss, damage, and unauthorized use.

Calvin Community reviewed, updated and implemented the program's document retention process. This process will include: quarterly thinning of tenant charts during services plan updates, active resident thinned chart documentation to be located in the program director's office, annually scanning discharged tenant documentation into the program's electronic health record and relocating the physical documentation permanent storage for a minimum of three years.

Monitoring of the assisted living document retention process will be completed by the facility CEO or designee.

The date of completion January 21st, 2022.