

Iowa Department of Inspections and Appeals
Health Facilities Division
Adult Services Civil Penalty Citation

Date: September 27, 2021
Program Name: Willows of Marshalltown Memory Care
Address: 2315 Campbell Drive Marshalltown, IA 50158
Type of Action: recertification
Date(s) of Action: 8/2/21 – 8/12/21

State Rule #	State Rule	Amount of Civil Penalty
67.19(3)	<u>481—67.19(135C,231B,231C,231D) Criminal, dependent adult abuse, and child abuse record checks.</u> 67.19(3) Requirements for employer prior to employing an individual. <u>Prior to employment of a person in a program, the program shall request that the department of public safety perform a criminal history check and the department of human services perform child and dependent adult abuse record checks of the person in this state.</u> Based on interview and record review the Program failed to complete criminal, child, and dependent adult abuse background checks prior to employment for 1 of 8 staff reviewed (Staff F). Findings follow: Record review on 8-3-21 of Staff F's file revealed a hire date of 1-25-21. A Single Contact License and Background Check was completed 8-2-21. No criminal, child, and dependent adult abuse background checks completed prior to employment could be located. The Administrative Assistant confirmed these findings on 8-5-21 at 11:18 a.m.	\$500.00
67.19(3)c	<u>67.19(3)c If a person considered for employment has been convicted of a crime. If a person being considered for employment in a program has been convicted of a crime under a law of any state, the department of public safety shall notify the program that upon the request of the program the department of human services will perform an evaluation to determine whether the crime warrants prohibition of the person's employment in the program.</u> Based on interview and record review the Program failed to submit an evaluation to the Department of Human Services (DHS) for 2 of 2 staff reviewed with a criminal history (Staff A and Staff G). Findings follow: 1. Record review of Staff A's file revealed a hire date of 11-11-19. A Single Contact License and Background Check completed 10-29-19 revealed a criminal history. No evaluation from DHS could be located. A Single Contact License and Background Check completed 12-3-19 revealed a criminal history. An evaluation from DHS approved her	

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	employment to the Program on 12-16-19, 5 weeks after beginning employment. 2. Record review of Staff G's file on 8-2-21 revealed a hire date of 3-30-2020. A Single Contact License and Background Check completed 3-25-2020 revealed a criminal history. No evaluation from DHS could be located. The Administrative Assistant confirmed these findings on 8-5-21 at 11:18 a.m.	
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