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DEPARTMENT OF INSPECTIONS AND APPEALS

STATEMENT OF DEFICIENCIES AND PLAN OF CORRECTION	(X1) PROVIDER/SUPPLIER/CLIA IDENTIFICATION NUMBER: S0361	(X2) MULTIPLE CONSTRUCTION A. BUILDING: _____ B. WING _____	(X3) DATE SURVEY COMPLETED 06/15/2021
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NAME OF PROVIDER OR SUPPLIER COBBLESTONE COURT ASSISTED LIVING	STREET ADDRESS, CITY, STATE, ZIP CODE 3187 140TH STREET SUMNER, IA 50674
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(X4) ID PREFIX TAG	SUMMARY STATEMENT OF DEFICIENCIES (EACH DEFICIENCY MUST BE PRECEDED BY FULL REGULATORY OR LSC IDENTIFYING INFORMATION)	ID PREFIX TAG	PROVIDER'S PLAN OF CORRECTION (EACH CORRECTIVE ACTION SHOULD BE CROSS-REFERENCED TO THE APPROPRIATE DEFICIENCY)	(X5) COMPLETE DATE
A 000	<p>Initial Comments</p> <p>Assisted living Programs are defined by the type of population served. The census numbers were provided by the Program at the time of the on-site.</p> <p>Number of tenants without cognitive disorder: 29 Number of tenants with cognitive disorder: 0</p> <p>TOTAL census of Assisted Living Program: 29</p> <p>There were no regulatory insufficiencies cited during the onsite infection control survey completed on 6-14-21 and 6-15-21.</p> <p>The following regulatory insufficiency was cited during the recertification visit conducted to determine compliance with certification for an Assisted Living Program:</p>	A 000		
A 400	<p>481-67.19(3) Record Checks</p> <p>67.19(3) Requirements for employer prior to employing an individual. Prior to employment of a person in a program, the program shall request that the department of public safety perform a criminal history check and the department of human services perform child and dependent adult abuse record checks of the person in this state.</p> <p>This REQUIREMENT is not met as evidenced by: Based on interview and record review the Program failed to request the department of human services perform a child and dependent adult abuse record check prior to staffs' employment. This pertained to 6 of 6 staff reviewed (Staff A, B, C, D, E and F). Findings follow:</p>	A 400		

DIVISION OF HEALTH FACILITIES - STATE OF IOWA
LABORATORY DIRECTOR'S OR PROVIDER/SUPPLIER REPRESENTATIVE'S SIGNATURE _____ TITLE _____ (X6) DATE _____

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A 400	<p>Continued From page 1</p> <p>1. Record review on 6-14-21 of Staff A's training documents revealed a hire date of 2-12-21. A Criminal History Record Check Request Form was returned on 2-11-21 and indicated no criminal history record was found. A background check for child and dependent adult abuse was not completed.</p> <p>When interviewed on 6-15-21 at 11:45 a.m. the Administrator revealed Staff A first worked on 2-13-21 at 7:00 a.m.</p> <p>2. Record review on 6-14-21 of Staff B's training documents revealed a hire date of 11-19-19. A Criminal History Record Check Request Form was returned on 11-18-19 and indicated no criminal history record was found. A background check for child and dependent adult abuse was not completed.</p> <p>When interviewed on 6-15-21 at 11:45 a.m. the Administrator revealed Staff B first worked on 11-20-19 at 5:29 p.m.</p> <p>3. Record review on 6-14-21 of Staff C's training documents revealed a hire date of 7-1-20. A Criminal History Record Check Request Form was returned on 6-25-20 and indicated no criminal history record was found. A background check for child and dependent adult abuse was not completed.</p> <p>When interviewed on 6-15-21 at 11:45 a.m. the Administrator revealed Staff C first worked on either 7-29-20 or 7-30-20 at 11:00 a.m.</p> <p>4. Record review on 6-14-21 of Staff D's training documents revealed a hire date of 7-20-20. A Criminal History Record Check Request Form</p>	A 400		

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A 400	<p>Continued From page 2</p> <p>was returned on 7-17-20 and indicated no criminal history record was found. A background check for child and dependent adult abuse was not completed.</p> <p>When interviewed on 6-15-21 at 11:45 a.m. the Administrator revealed Staff D first worked on 7-20-20 at 7:00 a.m.</p> <p>5. Record review on 6-14-21 of Staff E's training documents revealed a hire date of 11-19-20. A Criminal History Record Check Request Form was returned on 11-9-20 and indicated no criminal history record was found. A background check for child and dependent adult abuse was not completed.</p> <p>When interviewed on 6-15-21 at 11:45 a.m. the Administrator revealed Staff E first worked on 11-18-20 at 3:50 p.m.</p> <p>6. Record review on 6-14-21 of Staff F's training documents revealed a hire date of 5-20-21. A Criminal History Record Check Request Form was returned on 5-14-21 and indicated no criminal history record was found. A background check for child and dependent adult abuse was not completed.</p> <p>When interviewed on 6-15-21 at 11:45 a.m. the Administrator revealed Staff F first worked on 5-18-21 at 3:00 p.m.</p> <p>7. When interviewed on 6-14-21 at 4:33 p.m. the Administrator confirmed the above finding.</p>	A 400		

Correction Plan for Cobblestone Court Assisted Living

Deficiencies 1-6:

We will correct each deficiency by running a SING background check, which includes sex offender, dependent adult abuse, child abuse and criminal history. We were only running the criminal background check. Cobblestone now has access to SING and will be running background checks that include sex offender, child abuse, dependent adult abuse and criminal history.

The administrator assistant, Andrew Boeckman will run the SING background check before finalizing the hiring process for any new employees. The administrator, Ginny Boeckman, will review each new employee file before employee start date to ensure SING background check has been run and the employee has not been found in any registry.

All current employee's files have been updated to include a SING background check, which includes sex offender registry, dependent adult abuse registry, child abuse and criminal history.

The steps taken to correct the issues were put in place on 6/15/2021.