

**Iowa Department of Inspections and Appeals  
Health Facilities Division  
Adult Services Civil Penalty Citation**

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| <b>Date:</b> March 2, 2022                                           |
| <b>Program Name:</b> Prairie Hills at Clinton                        |
| <b>Address:</b> 1701 13 <sup>th</sup> Avenue North Clinton, IA 52732 |
| <b>Type of Action:</b> Incident 99708-I, recertification visit       |
| <b>Date(s) of Action:</b> 12/13/21 to 1/3/22                         |
| <b>Citation #</b> 5625                                               |

| <b>State Rule #</b> | <b>State Rule</b>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                              | <b>Amount of Civil Penalty</b> |
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| 69.32(2)            | <p><b><u>481—69.32(231C) Life safety—emergency policies and procedures and structural safety requirements.</u></b><br/> <u>69.32(2) An operating alarm system shall be connected to each exit door in a dementia-specific program.</u></p> <p>Based on observation, interview and record review the program failed to ensure the alarm system was utilized on all exit doors affecting 1 of 1 former tenants reviewed specific to Incident #97708 (Tenant C1), and potentially affecting all current tenants (census 48). Findings follow:</p> <p>1) An incident report dated 5/19/21 revealed the Life Enrichment Coordinator (LEC) was returning from transporting another tenant that day when she observed Tenant C1 in the parking lot. She was wearing a t-shirt, sweatshirt, jeans and tennis shoes. The temperature outside was 74 degrees. The LEC brought Tenant C1 into the building. The Healthcare Coordinator assessed Tenant C1 and determined she had no injuries. Tenant C1 stated she was looking for her mother outside the building but could not report how she got out doors due to her confusion.</p> <p>On 12/16/21 at 2:35 PM, the LEC confirmed the information in the incident report. She pulled into the program's parking lot and found Tenant C1 standing by a lamp post appearing confused. The LEC was unsure how long Tenant C1 was outside the building. The LEC returned Tenant C1 to the program and determined no one was aware she was out of the building.</p> <p>Review of Tenant C1's file revealed a diagnosis of unspecified dementia without behavioral disturbance. Her Global Deterioration Scale score was a 6 indicating severe cognitive decline. She had a history of wandering in the building but was not known to open doors in an attempt to go outside. The tenant lived in the general population of the program. Following the elopement, she was moved to the locked unit.</p> <p>On 12/21/21 at 9:50 AM, Staff N stated she believed Tenant C1 left the building on 5/19/21 via the 200 hallway exit door (a hallway in the general population unit). Staff N recalled seeing the door wide open that day. Staff N recalled when the former maintenance person</p> | \$2000.00                      |

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|  | <p>worked at the program, he would leave the building doors open with the alarm off when tenants were moving in or out.</p> <p>2) Observation of the front entrance door throughout the day on 12/13/21, 12/14/21 and 12/15/21 revealed individuals were able to enter and exit the program. There was no evidence the locking or alarm system on the main entrance door was in use.</p> <p>On 12/16/21 at 1:45 PM, the Assistant Manager reported the main entrance door was not locked or alarmed until the last management person left at the end of the day. Until about three weeks ago, the door was kept locked and she scanned people in and out of the building. However, currently it was not locked or alarmed. The monitoring systems did alert staff when individuals entered or exited through the door on their iPads, but staff did not respond to the front door. The Assistant Manager, who sat at the front desk, confirmed there were times she was not at her seat and would not be able to monitor if there were cognitively impaired individuals who wandered in and out of the building. The Assistant Manager did not think there were any individuals with cognitive impairment living outside of the memory care unit. According to the Entrance Form, there were six tenants with a Global Deterioration Score of 4 or above living in the unsecured (general population) part of the building as of 12/13/21.</p> <p>On 12/21/21 at 8:45 AM, Staff C reported the main entrance doors were locked and alarmed up until three weeks ago. They were open since that time.</p> <p>On 12/21/21 at 9:10 AM, Staff D reported the main entrance doors were unlocked about 3-4 weeks ago so individuals could go in and out. Prior to that, people needed a code to enter and exit the building.</p> <p>Staff M reported the main entrance doors were locked at night but unlocked during the day on 12/21/21 at 9:30 AM.</p> <p>On 12/27/21 at 5:25 PM, the Manager reported the main entrance doors were locked until 11/29/21. At that point her boss asked why the main entrance doors were locked and she was told they needed to remain unlocked. The Manager locked and alarmed the main entrance doors when she left at the end of the day.</p> <p>On 12/16/21 at 2:50 PM the Portfolio Leader confirmed the program doors should be locked and alarmed.</p> |  |
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