

**Iowa Department of Inspections and Appeals
Health Facilities Division
Adult Services Civil Penalty Citation**

Date: March 28, 2023
Program Name: Regency Assisted Living
Address: 805 High St Norwalk, IA 50211
Type of Action: 107728-C
Date(s) of Action: 1/30/23 to 2/1/23
Citation # 6057

State Rule #	State Rule	Amount of Civil Penalty
69.29(4)	<u>481-69.29(231C) Staffing.</u> <u>69.29(4) A dementia-specific assisted living program shall have one or more staff persons who monitor tenants as indicated in each tenant's service plan. The staff shall be awake and on duty 24 hours a day on site and in the proximate area. The staff shall check on tenants as indicated in the tenants' service plans.</u> <u>A non-dementia-specific assisted living program shall have one or more staff persons who monitor tenants as indicated in each tenant's service plan. The staff shall be able to respond to a call light or other emergent tenant needs and be in the proximate area 24 hours a day on site. The staff shall check on tenants as indicated in the tenants' service plans.</u> Based on interview and record review, the program failed to supervise 1 of 1 discharged tenants reviewed according to her service plan (Tenant C1). Findings follow: Record review on 1/31/23 revealed Tenant C1 scored a 5 on the Global Deterioration Scale on 7/5/22, indicating moderately severe dementia. A Nurse Review with the same dated identified Tenant C1 was alert, but not oriented to place or time. Tenant C1 was content with living at the assisted living program but required a higher level of care related to her diagnosis of dementia. At the time of the Nurse Review, the program believed the tenant's family had found placement for Tenant C1 in a memory care unit. The program learned on 8/18/22 Tenant C1 was not accepted to the memory care unit. Prior to 9/9/22 the tenant was able to leave the program and go to nearby businesses (within 500 feet) without any staff supervision needed. A document completed by the Registered Nurse on 9/14/22 revealed the following - On 9/9/22 at approximately 10:00 AM, Tenant C1 went for a walk outside in the front area of the building. Tenant C1 was 413 feet from the program at a nearby restaurant and unable to recall how to return to the building. The weather on 9/9/22 was sunny with a high of 86 degrees. On her return to the building, Tenant C1 was noted to have no injuries. On 9/9/22, Tenant C1's service plan was updated to note she could no longer go outside without staff.	\$500.00

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	- On 9/14/22 at 10:00 AM, the Assisted Living Director saw Tenant C1 watching television in the lobby. The program was notified at 10:15 AM, Tenant C1 was located in the nearby Taco John's parking lot which was 358 feet away. She did not know how to return home. The weather on 9/14/22 was sunny with a high of 88 degrees. Tenant C1 had no injuries. She told staff she went for a walk. Staff C provided a written statement dated 9/14/22. She recalled Tenant C1 was sitting on the couch in the lobby area at 10:00 AM on 9/14/22. Staff C went to take a break and Tenant C1 was still on the couch. When she returned from her break at 10:30 AM, the police were in the building and had returned with Tenant C1. On 1/31/23 at 3:30 PM, the Assisted Living Director recalled seeing Tenant C1 sitting on the couch in the lobby the morning of 9/14/22. She reported looking up and noting Tenant C1 was gone from the couch at the same time she was notified Tenant C1 was in the restaurant parking lot. According to progress notes Tenant C1 was on 1:1 supervision with assistance from family until being discharged on 9/20/22. On 2/1/23 at 7:30 AM, the Assisted Living Director confirmed the program did not ensure Tenant C1 only left the building with staff on 9/14/22.	
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