

**Iowa Department of Inspections and Appeals
Health Facilities Division
Citation**

Citation Number: 8021		Date: March 16, 2020		
Facility Name: Brookdale		Survey Dates: February 18, 19, 20, 2020		
Facility Address/City/State/Zip 2562 Pierce St. Sioux City, IA 51104		LK		
Rule or Code Section	Nature of Violation	Class	Fine Amount	Correction date

481-50.9 (135C) 481-57.11(3)	50.9(3) <i>Requirements for employer prior to employing an individual.</i> Prior to employment of a person in a facility, the facility shall request that the department of public safety perform a criminal history check and the department of human services perform child and dependent adult abuse record checks of the person in this state 50.9(5) <i>Employment prohibition.</i> A person who has committed a crime or has a record of founded child or dependent adult abuse shall not be employed in a facility unless an evaluation has been performed by the department of human services. 57.11(3) <i>Employee criminal record checks, child abuse checks and dependent adult abuse checks and employment of individuals who have committed a crime or have a founded abuse.</i> The facility shall comply with the requirements found in Iowa Code section 135C.33 as amended by 2014 Iowa Acts, chapter 1040, and rule 481—50.9(135C) related to completion of criminal record checks, child	II	\$500	Upon receipt
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Facility Administrator

Date

If, within thirty (30) days of the receipt of the citation, you (1) do not request a formal hearing or; (2) withdraw your request for formal hearing, and (3) pay the penalty; the assessed penalty will be reduced by thirty-five percent (35%) pursuant to Iowa Code section 135C.43A (2013).

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	abuse checks, and dependent adult abuse checks and to employment of individuals who have committed a crime or have a founded abuse. Based on interview and record review the facility failed to complete background checks as required for 3 of 3 new employees reviewed (Administrator, Staff D, Staff E). Findings include: On 2/18/20 review of Staff D's employee file revealed a start date of 10/21/19. No criminal history check performed by the department of public safety (DPS), or child and dependent adult abuse record checks performed by the department of human services (DHS) could be located. On 2/18/20 review of Staff E's employee file revealed a start date of 11/11/19. No criminal history check performed by DPS, or child and dependent adult abuse record checks performed by DHS could be located. On 2/19/20 review of the Administrator's employee file revealed a start date of 1/1/20. No criminal history check performed by DPS, or child and dependent adult abuse record checks performed by DHS could be located.			
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	On 2/19/20 at 9:25 a.m. the Administrator confirmed these findings. FACILITY RESPONSE:			
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