

**Iowa Department of Inspections and Appeals
Health Facilities Division
Citation**

Citation Number: #5976	Fine amount reduced by 35% to \$5,525 on January 31, 2023 pursuant to Iowa Code Section 135C.43A	Date: January 19, 2023
Facility Name: Montezuma Specialty Care	Survey Dates: December 19, 2022 – January 03, 2023	
Facility Address/City/State/Zip: 316 Meadow Lane Drive Montezuma, IA 50171	TAG	
Rule or Code Section	Nature of Violation	Class Fine Amount Correction date

58.19(2)j	481—58.19(135C) Required nursing services for residents. The resident shall receive and the facility shall provide, as appropriate, the following required nursing services under the 24-hour direction of qualified nurses with ancillary coverage as set forth in these rules: 58.19(2) Medication and treatment. <i>j.</i> Provision of accurate assessment and timely intervention for all residents who have an onset of adverse symptoms which represent a change in mental, emotional, or physical condition. (I, II, III) DESCRIPTION: Based on observation, record review, staff and family interviews, the facility failed to provide adequate assessment and timely intervention for 1 of 4 residents reviewed (Resident #3). On 9/2/22 at 12:15 a.m. Resident #3 had an unwitnessed fall to the floor and was found face down with a hematoma (a mass of blood that forms in tissue as a result of a broken blood vessel) on the left side of her forehead and swelling to the left eye. Facility staff initiated Neurological checks but failed to complete as scheduled and failed to notify the provider. Resident #2 had a Neurological check at 4:00 a.m., but staff	CLASS I	\$8,500.00 (TO BE COLLECTED)	UPON RECEIPT
------------------	---	----------------	---	-------------------------

Facility Administrator

Date

If, within thirty (30) days of the receipt of the citation, you (1) do not request a formal hearing or; (2) withdraw your request for formal hearing, and (3) pay the penalty; the assessed penalty will be reduced by thirty-five percent (35%) pursuant to Iowa Code section 135C.43A (2013).

**Iowa Department of Inspections and Appeals
Health Facilities Division
Citation**

Citation Number: #5976	Fine amount reduced by 35% to \$5,525 on January 31, 2023 pursuant to Iowa Code Section 135C.43A	Date: January 19, 2023
Facility Name: Montezuma Specialty Care	Survey Dates: December 19, 2022 – January 03, 2023	
Facility Address/City/State/Zip: 316 Meadow Lane Drive Montezuma, IA 50171	TAG	
Rule or Code Section	Nature of Violation	Class Fine Amount Correction date

	failed to complete the next check scheduled at 12 Noon. The next Neurological assessment was completed at 6:00 p.m., 14 hours after the 4:00 a.m. check. When assessed at 6:00 p.m., Resident #3 displayed altered mental status changes, was lethargic and weak, with nausea and was dry heaving. The Provider was notified at this time, resident was transferred to the local Emergency Room (ER) and was diagnosed with a subdural hematoma (collection of blood on the brain's surface) with brain bleed and required transfer to a larger hospital for care and treatment. The facility reported a census of 59 residents. Findings Include: The Minimum Data Set (MDS) Assessment with a reference date of 8/23/22 for Resident #3 identified intact cognitive skills for decision making. The MDS further revealed the resident independent for transfer, walking, and hygiene. The MDS identified the resident with adequate hearing. The resident had diagnoses that included atrial fibrillation, muscle weakness, hypertension, and anemia. Observation and interview on 12/28/22 at 12:40 p.m. Resident #3 seated at the dining room table in			
--	--	--	--	--

Facility Administrator

Date

If, within thirty (30) days of the receipt of the citation, you (1) do not request a formal hearing or; (2) withdraw your request for formal hearing, and (3) pay the penalty; the assessed penalty will be reduced by thirty-five percent (35%) pursuant to Iowa Code section 135C.43A (2013).

**Iowa Department of Inspections and Appeals
Health Facilities Division
Citation**

Citation Number: #5976	Fine amount reduced by 35% to \$5,525 on January 31, 2023 pursuant to Iowa Code Section 135C.43A	Date: January 19, 2023
Facility Name: Montezuma Specialty Care	Survey Dates: December 19, 2022 – January 03, 2023	
Facility Address/City/State/Zip: 316 Meadow Lane Drive Montezuma, IA 50171	TAG	
Rule or Code Section	Nature of Violation	Class Fine Amount Correction date

	wheelchair. Resident noted to not be able to hear conversation, white board was provided for communication. Resident stated that she lost her hearing after the fall with head injury. Does not recall the fall or injury. An un-witnessed Fall Report initiated on 9/2/22 at 12:15 a.m., by Staff A, Licensed Practical Nurse (LPN) documented Resident #3 had been found on the floor face down beside her electric recliner which was tilted in the highest position. Resident #3 stated that she was trying to get up from the recliner and her leg gave out and she fell to the floor. The resident was assessed for injuries which included an abrasion to the right (in error) forehead. Neurological (Neuro) Checks were initiated. The report further documented the Associate Director of Nursing (ADON) and the Administrator were notified of the fall A Progress Note dated 9/2/22 at 12:15 a.m., documented Staff A was called to Resident #3's room and resident was on the floor face down, noted abrasion above left eye. Neuro's started. Active range of motion to all extremities without difficulties. A fax was made out to the Provider. The facility was unable to produce record of a fax being sent to the Provider at that time by Staff A.			
--	---	--	--	--

Facility Administrator

Date

If, within thirty (30) days of the receipt of the citation, you (1) do not request a formal hearing or; (2) withdraw your request for formal hearing, and (3) pay the penalty; the assessed penalty will be reduced by thirty-five percent (35%) pursuant to Iowa Code section 135C.43A (2013).

**Iowa Department of Inspections and Appeals
Health Facilities Division
Citation**

Citation Number: #5976	Fine amount reduced by 35% to \$5,525 on January 31, 2023 pursuant to Iowa Code Section 135C.43A	Date: January 19, 2023
Facility Name: Montezuma Specialty Care	Survey Dates: December 19, 2022 – January 03, 2023	
Facility Address/City/State/Zip: 316 Meadow Lane Drive Montezuma, IA 50171	TAG	
Rule or Code Section	Nature of Violation	Class Fine Amount Correction date

	A Progress Note dated 9/2/22 at 2:59 p.m., documented Staff B, LPN documented the Provider was faxed and informed of the fall. The facility is unable to produce record of a fax being sent to the provider at this time by Staff B. Additionally, the clinical record lacked documentation of an assessment or Neuro Checks by Staff B. Review of a Progress Note dated 9/2/22 at 6:00 p.m., titled as a Summary for Providers initiated by the ADON described a change in condition which included the following: abnormal vital signs (BP 90/40), altered mental status, nausea and vomiting, resident on an anticoagulant, lethargic, unable to eat or drink, alert and oriented to self only, confused, unable to complete full sentences, and swelling to the left eye increased. Primary Care Provider responded with recommendation to send to local Emergency Room (ER) to evaluate and treat. A Progress Note dated 9/2/22 at 6:45 p.m., initiated by the ADON documented the Provider was notified via phone of the recent fall with bruising to the left forehead and shoulder and the left eye was swollen. Informed that resident now displayed altered mental status (AMS), nausea and dry heaving, lethargic and weak. Order received to send to the local ER for a CT scan and evaluation. 911 was notified and resident			
--	--	--	--	--

Facility Administrator

Date

If, within thirty (30) days of the receipt of the citation, you (1) do not request a formal hearing or; (2) withdraw your request for formal hearing, and (3) pay the penalty; the assessed penalty will be reduced by thirty-five percent (35%) pursuant to Iowa Code section 135C.43A (2013).

**Iowa Department of Inspections and Appeals
Health Facilities Division
Citation**

Citation Number: #5976	Fine amount reduced by 35% to \$5,525 on January 31, 2023 pursuant to Iowa Code Section 135C.43A	Date: January 19, 2023
Facility Name: Montezuma Specialty Care	Survey Dates: December 19, 2022 – January 03, 2023	
Facility Address/City/State/Zip: 316 Meadow Lane Drive Montezuma, IA 50171	TAG	
Rule or Code Section	Nature of Violation	Class Fine Amount Correction date

	transported to local hospital ER. In a Progress Note dated 9/2/22 at 9:23 p.m., the ADON received an update from an ER Nurse that the resident was being transferred to a larger hospital due to a subdural hematoma with a brain bleed. Review of an electronic document titled Neurological Eval for Resident #3 included Neuro assessments directed to be completed at the following scheduled times: a. 15 minute checks x 4: 12:15 a.m., 12:30 a.m., 12:45 a.m., 1:00 a.m., 1:15 a.m. b. 30 minute checks x 2: 1:45 a.m., 2:15 a.m. c. 1 hour checks x 2: 3:00 a.m., 4:00 a.m. d. 8 hour checks x 9: No checks documented as completed During an interview on 12/29/22 at 2:14 p.m., Staff A, LPN confirmed she had worked the night of the fall. Confirmed that she had not contacted the Provider of the head injury and she had passed on the information to the next shift. During an interview on 12/28/22 at 2:59 p.m., Staff B, LPN had been informed of the fall at the start of her shift, but didn't feel it was her responsibility to notify the provider. She had no recall of why she failed to			
--	---	--	--	--

Facility Administrator

Date

If, within thirty (30) days of the receipt of the citation, you (1) do not request a formal hearing or; (2) withdraw your request for formal hearing, and (3) pay the penalty; the assessed penalty will be reduced by thirty-five percent (35%) pursuant to Iowa Code section 135C.43A (2013).

**Iowa Department of Inspections and Appeals
Health Facilities Division
Citation**

Citation Number: #5976	Fine amount reduced by 35% to \$5,525 on January 31, 2023 pursuant to Iowa Code Section 135C.43A	Date: January 19, 2023
Facility Name: Montezuma Specialty Care	Survey Dates: December 19, 2022 – January 03, 2023	
Facility Address/City/State/Zip: 316 Meadow Lane Drive Montezuma, IA 50171	TAG	
Rule or Code Section	Nature of Violation	Class Fine Amount Correction date

	complete Neurological Checks as scheduled, or why she had not completed an assessment. Staff B verified that had she assessed she would have documented in the Clinical Record. In an interview on 12/28/22 at 2:24 p.m., a Facility Provider stated she would expect staff to notify of an unwitnessed fall that had resulted in an obvious head strike, to use the on-call service to report immediately and talk to a Provider and would not expect a fax notification. The Provider added that the resident was on a blood thinner which made her more at risk for a bleed. Additionally, would have expected all neurological checks to be completed as per protocol and would have expected staff to monitor for symptoms. The Provider recalled that the ADON had notified her that evening when she had arrived and assessed that resident had a mental status change and experienced nausea. In an interview on 12/28/22 at 2:44 p.m., the Administrator stated that staff are expected to follow the protocols identified for Neurological Checks in the electronic record after a fall with a head strike. The checks are prompted at every 15 minutes x 4, every 30 minutes x 2, every 1 hour x 2 and every 8 hours x 9. The Administrator stated would expect to be completed even if the resident was sleeping.			
--	--	--	--	--

Facility Administrator

Date

If, within thirty (30) days of the receipt of the citation, you (1) do not request a formal hearing or; (2) withdraw your request for formal hearing, and (3) pay the penalty; the assessed penalty will be reduced by thirty-five percent (35%) pursuant to Iowa Code section 135C.43A (2013).

**Iowa Department of Inspections and Appeals
Health Facilities Division
Citation**

Citation Number: #5976	Fine amount reduced by 35% to \$5,525 on January 31, 2023 pursuant to Iowa Code Section 135C.43A	Date: January 19, 2023
Facility Name: Montezuma Specialty Care	Survey Dates: December 19, 2022 – January 03, 2023	
Facility Address/City/State/Zip: 316 Meadow Lane Drive Montezuma, IA 50171	TAG	
Rule or Code Section	Nature of Violation	Class Fine Amount Correction date

	Additionally, would expect staff to monitor for changes as they provided care to the resident. The Administrator stated she felt the facility had failed to send notification to the Provider, as could not find any faxes that had been sent. The Administrator indicated she would expect staff to complete assessments and provide timely intervention. In an interview on 12/28/22 at 1:05 p.m., Resident #3's sister listed as #1 contact and Power of Attorney (POA) stated that she felt she should have been notified immediately, that is what they are supposed to do. Had she been contacted she would have advocated for her sister to be sent to the local ER for evaluation right away. Prior to the fall Resident #3 had been independent, competent, and could hear a pin drop, now can't hear anything. A CT Scan (medical imaging technique) dated as completed 9/2/22 revealed a subdural hematoma and left frontal scalp hematoma. Review of a hospital document titled Trauma Surgery History and Physical dated 9/3/22 revealed the resident was evaluated for a subdural hematoma and altered mental status after sustaining a ground level fall with head contusion.			
--	--	--	--	--

Facility Administrator

Date

If, within thirty (30) days of the receipt of the citation, you (1) do not request a formal hearing or; (2) withdraw your request for formal hearing, and (3) pay the penalty; the assessed penalty will be reduced by thirty-five percent (35%) pursuant to Iowa Code section 135C.43A (2013).

**Iowa Department of Inspections and Appeals
Health Facilities Division
Citation**

Citation Number: #5976	Fine amount reduced by 35% to \$5,525 on January 31, 2023 pursuant to Iowa Code Section 135C.43A	Date: January 19, 2023
Facility Name: Montezuma Specialty Care	Survey Dates: December 19, 2022 – January 03, 2023	
Facility Address/City/State/Zip: 316 Meadow Lane Drive Montezuma, IA 50171	TAG	
Rule or Code Section	Nature of Violation	Class Fine Amount Correction date

	Review of a Major Injury Determination Form signed by the Medical Director on 9/3/22 determined that as a result of a fall resulting in a brain bleed on 9/2/22 at 12:15 a.m. the injury sustained was a major injury. Review of a facility Corrective Action Form dated 9/12/22 documented Staff A received a written final warning for failing to: follow fall protocol, notify the Provider and family, and completing an assessment. FACILITY RESPONSE:			
--	---	--	--	--

Facility Administrator

Date

If, within thirty (30) days of the receipt of the citation, you (1) do not request a formal hearing or; (2) withdraw your request for formal hearing, and (3) pay the penalty; the assessed penalty will be reduced by thirty-five percent (35%) pursuant to Iowa Code section 135C.43A (2013).